



TJK/398

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Otaki et al.

Serial No.: 10/615,041

Filing Date: July 8, 2003

) VOLUME HOLOGRAM RECORDING
) PHOTSENSITIVE COMPOSITION,
) VOLUME HOLOGRAM RECORDING
) PHOTSENSITIVE MEDIUM AND
) VOLUME HOLOGRAM
)
) Group Art Unit:

REPLACEMENT DRAWINGS TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Mail Stop: Missing Parts
Alexandria, VA 22313-1450

Attention: Official Draftsman

Sir:

Enclosed are two (2) sheets of formal drawings, including changes required by the Notice of Missing Parts dated November 26, 2003.

Please charge fees for drawing comparison or any additional fees to **Deposit Account No. 23-2126**.

A duplicate of this transmittal is enclosed.

Date: 12/9/03
WILDMAN, HARROLD, ALLEN & DIXON LLP
225 West Wacker Drive
Chicago, IL 60606
Ph. 312/201-2000
Fax 312/201-2555

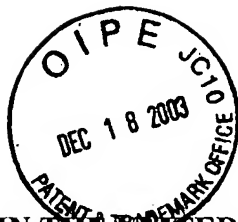
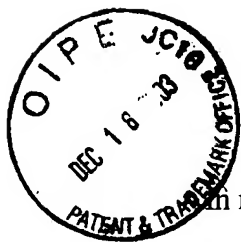
Respectfully submitted,

By: [Signature]
Timothy J. Keefer, Reg. No. 35,567

CERTIFICATE OF MAILING

hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

12/9/2003
[Signature]



TJK/398

IN THE UNITED STATES PATENT AND TRADEMARKS OFFICE

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) PHOTSENSITIVE COMPOSITION
) FOR VOLUME HOLOGRAM
) RECORDING, PHOTSENSITIVE
) MEDIUM FOR VOLUME
) HOLOGRAM RECORDING
) AND VOLUME HOLOGRAM
) Group Art Unit:

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Mail Stop: Missing Parts
Alexandria, VA 22313-1450

Sir:

Please find enclosed the following in the above referenced patent application:

1. Duplicate Notice to File Missing Parts of Application;
2. Preliminary Amendment (3 pages);
3. Fee Transmittal in duplicate;
4. Executed Declaration;
5. Executed Power of Attorney;
6. Three Certified Copies of the Japanese priority documents;
7. English Translation of Japanese text Specification as filed with Statement of Accuracy (total pages 91);
8. Executed Assignment;
9. Recordation Form Cover Sheet;
10. Replacement Drawings Transmittal in duplicate;
11. Two (2) sheets of formal drawings; and

12. Check for \$170.00 in payment of late filing fee and assignment fee.

Please acknowledge receipt of the above by returning the enclosed stamped, self-addressed receipt postcard.

Please charge any additional fees to **Deposit Account No. 23-2126**. A duplicate of this transmittal is enclosed.

Date: 12/9/03

Wildman, Harrold, Allen & Dixon LLP
225 West Wacker Drive
Chicago, IL 60606
Ph. (312) 201-2000
Fax (312) 201-2555

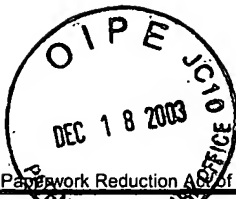
Respectfully submitted,

By: 
Timothy J. Keefer, Reg. No. 35,567

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hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

12/9/2003
Mindy Sitch



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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 170.00

Complete if Known

Application Number 10/615,041
Filing Date July 8, 2003
First Named Inventor Otaki et al.
Examiner Name
Art Unit
Attorney Docket No. TJK/398

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 23-2126
Deposit Account Name Wildman, Harrold, Allen & Nixon

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	17	-20** = 0	X		0
Independent Claims	3	-3** = 0	X		0
Multiple Dependent					

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	130.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

Name (Print/Type) Timothy J. Keefer Registration No. 35,567 Telephone 312/201-2000
Signature Date 12/9/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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